

File No.: 9-15289-1US

May 17, 2001

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P. Waller
8-7-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xianzhen CHEN

Serial No.: 09/810,500

Filed: March 19, 2001

Title: STATOR WINDING FOR A VARIABLE SPEED
BRUSHLESS DIRECT CURRENT (DC) MOTOR

Agent of Record: Wayne H. Yan

Tel: (613) 230-6072

Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.

Sir:

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

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Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,

By: _____

Wayne H. Yan

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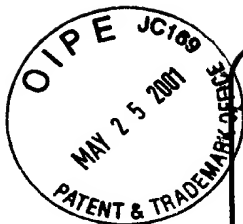
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PTO/SB/21 (6-98)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/810,500

Filing Date March 19, 2001

First Named Inventor CHEN, Xianzhen

Group Art Unit 2834

Examiner Name

Total Number of Pages in This Submission

26

Attorney Docket Number 9-15289-1US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Additional Enclosure(s) (please identify below)

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Wayne H. Yan (Reg. No. 44,485)

Signature

Date

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